

02-11-02

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UTILITY
PATENT APPLICATION
TRANSMITTAL(Only for new nonprovisional applications under
37 CFR 1.53(b))

Attorney Docket No. 37125/0110/31374

First Inventor Girish R. Kamath

Title Autotransformer-Based System And Method Of Current
Harmonics Reduction In A Circuit

Express Mail No. EL474164118US

ADDRESS TO: Assistant Commissioner for Patents
BOX PATENT APPLICATION
Washington, D.C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent
application contents.

1. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
2. ☒ Specification [Total Pages 41]
(preferred arrangement set forth below)
☒ Descriptive title of the invention
☐ Cross Reference to Related Applications
☐ Statement Regarding Fed sponsored R & D
☐ Reference to sequence listing, a table,
or a computer program listing appendix
☒ Background of the Invention
☒ Brief Summary of the Invention
☒ Brief Description of the Drawings (if filed)
☒ Detailed Description
☒ Claim(s)
☒ Abstract of the Disclosure

3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 12]4. ☐ Oath or Declaration [Total Pages 3]☐ Newly executed (original or copy)☐ Unexecuted☒ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).

5. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

- ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
11. ☒ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
14. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent
15. ☒ Certificate of Express Mail
16. ☐ Other: _____

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:☐ Continuation☒ Divisional☐ Continuation-in-part (CIP)

Prior application information:

Examiner Jeffrey L. Sterrett

of prior application No.: 09/818,068

Group Art Unit: 2838

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is
supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby
incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted
application parts.

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

021888

(Insert Customer No. or Attach
bar code label here)or ☒ Correspondence address below

Name Caroline G. Chicoine Registration No. (Attorney/Agent) 38,198

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One Firststar Plaza, Suite 3500

City St. Louis State MO Zip Code 63101

Country U.S.A. Telephone 314-552-6499 Fax 314-552-7499

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should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: ASSISTANT
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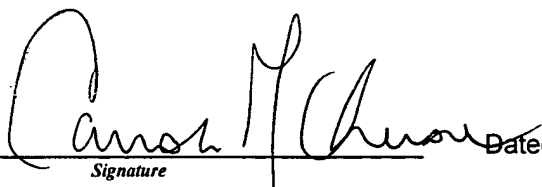
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PATENT APPLICATION FEE DETERMINATION RECORD				Application or Docket Number 37125/0110/31374			
CLAIMS AS FILED - PART I				SMALL ENTITY		OR	OTHER THAN SMALL ENTITY
(Column 1)		(Column 2)					
FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE		RATE	FEE
BASIC FEE (37 CFR 1.16(a))					OR		\$740.00
TOTAL CLAIMS (37 CFR 1.16(c))	8 minus 20 =	0	x _____ =	0.00	OR	x \$18.00 =	0.00
INDEPENDENT CLAIMS (37 CFR 1.16(b))	4 minus 3 =	1	x _____ =	0.00	OR	x \$84.00 =	84.00
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(d))			+ _____ =		OR	+ _____ =	
* If the difference in column 1 is less than zero, enter "0" in column 2			TOTAL	0.00	OR	TOTAL	824.00

10071775-020202

- ☐ A check in the amount of _____ is attached.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 20-0823 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$824.00.
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.


Signature

Dated: February 7, 2002

Caroline G. Chicoine, Reg. No. 38,198
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Signature of Person Mailing Correspondence

Caroline G. Chicoine

Typed or Printed Name of Person Mailing Correspondence

EXPRESS MAIL CERTIFICATE OF MAILING (37 CFR 1.10)**Express Mail No.**

Docket No.

37125/31374

In Re Application Of:

Girish R. Kamath.

Serial No.
To be assignedFiling Date
To be assignedExaminer
To be assignedGroup Art
Unit

Title:

Autotransformer-Based System And Method of Current Harmonics Reduction In A Circuit

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS

Transmitted herewith is:

Utility Patent Application Transmittal:

- ☒ Patent Application
☒ Drawings: 12 Sheets ☒ Formal ☐ Informal;
☒ Power of Attorney: ☒ Executed ☐ Not executed
☒ Declaration: ☒ Executed ☐ Not executed
☒ Assignment with Recordation Form
☐ 37 CFR 3.73(b) Statement
☒ Information Disclosure Statement with References (4)
☐ NonPublication Request under 35 U.S.C. 122(b)(2)(B)(i)
☒ Postcard
☐ A check in the amount of _____ is attached


Signature

Dated: February 7, 2002

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Signature of Person Mailing Correspondence

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Typed or Printed Name of Person Mailing Correspondence